



**养老金改革：国际动态与中国实践**

**International Workshop on Pension Reform:  
Global Trends and China's Experiences**

**会议手册**

**Program**

**主办单位：中国社会科学院人口与劳动经济研究所**

**Organizer: Institute of Population and Labor Economics,  
Chinese Academy of Social Sciences (CASS)**

**北 京 2017 年 10 月 15 日  
Beijing October 15<sup>th</sup>, 2017**

# 会议日程

08:30-09:00	会议注册
09:00-09:10	会议开幕 主 持 人：张车伟（中国社会科学院人口与劳动经济研究所 所长） 致 辞 人：蔡 昉（中国社会科学院副院长、学部委员）
9:10-10:35	<b>第一单元</b> 主 持 人：郑功成（中国人民大学 教授/中国社会保障学会 会长）
9:10-10:05	主题报告 报 告 人：Robert Holzmann（世界银行养老金改革的前任负责人） 报告题目：养老金系统改革的四个挑战：筹资、个人化、贫困与政治经济学
10:05-10:35	点评与讨论（每人 8 分钟以内） 评 论 人：刘克崧（国家开发银行 原副行长） 评 论 人：宋晓梧（中国经济改革研究基金会 理事长） 评 论 人：王德文（世界银行中国局 高级社会保护经济学家）
10:35-10:50	茶 歇
10:50-12:00	<b>第二单元</b> 主 持 人：金维刚（中国劳动和社会保障科学研究院 院长）
10:50-11:30	主题报告 报 告 人：David Bravo（智利养老金改革委员会主席） 报告题目：智利的养老金改革
11:30-12:00	点评与讨论（每人 8 分钟以内） 评 论 人：董克用（中国人民大学公共管理学院 教授） 评 论 人：杨燕绥（清华大学公共管理学院 教授） 评 论 人：汪德华（中国社会科学院财经战略研究院 研究员）
12:00-13:30	自助午餐
13:30-15:00	<b>第三单元</b> 主 持 人：仇雨临（中国人民大学劳动人事学院 教授）
13:30-14:30	主题报告

	<p>报 告 人：Edward Palmer（瑞典养老金模型的核心设计和推广者）</p> <p>报告题目：为什么是记账制养老金？中国如何使用它？</p>
14:30-15:00	<p>点评与讨论（每人 8 分钟以内）</p> <p>评 论 人：周 弘（中国社会科学院欧洲研究所 学部委员）</p> <p>评 论 人：李 珍（中国人民大学公共管理学院 教授）</p> <p>评 论 人：何文炯（浙江大学公共管理学院 教授）</p>
15:00-15:15	茶 歇
15:15-16:15	<p style="text-align: center;"><b>第四单元</b></p> <p>主 持 人：王新梅（中国社科院人口与劳动经济研究所 助理研究员）</p>
15:15-15:45	<p>主题报告</p> <p>报 告 人：高山宪之（日本养老金制度改革的核心设计者）</p> <p>报告题目：对世行 1994 年《应对老龄化危机》基本观点的疑问</p>
15:45-16:15	<p>点评与讨论（每人 8 分钟以内）</p> <p>评 论 人：李 实（北京师范大学经济与工商管理学院 教授）</p> <p>评 论 人：韩克庆（中国人民大学劳动人事学院 教授）</p> <p>评 论 人：张展新（中国社科院人口与劳动经济研究所 研究员）</p>
16:15-16:20	<p>会议闭幕</p> <p>致 辞 人：张车伟（中国社会科学院人口与劳动经济研究所 所长）</p>

# Agenda

08:30-09:00	Registration
09:00-09:10	<p><b>Opening Remarks</b></p> <p>Chair: Juwei Zhang (Institute of Population and Labor Economics, CASS)</p> <p>Speaker: Fang Cai (Vice President, Chinese Academy of Social Sciences, Professor, CASS Academician)</p>
<p><b>Session 1 (09:10-10:35)</b></p> <p>Chair: Gongcheng Zheng</p> <p>(President, China Association of Social Security)</p>	
09:10-10:05	<p>Speaker: Robert Holzmann</p> <p>Topic: <i>The Four Challenges of Systemic Pension Reform: Individualization, Funding, Poverty Issues, and Political Economy</i></p>
10:05-10:35	<p>Discussants</p> <p>Kegu Liu (Former Vice President, China Development Bank)</p> <p>Xiaowu Song (President, China Reform Foundation)</p> <p>Dewen Wang (Senior Economist, China's office of the World Bank)</p>
<i>10:35-10:50</i>	Coffee break
<p><b>Session 2 (10:50-12:00)</b></p> <p>Chair: Weigang Jin</p> <p>(Director, Chinese Academy of Labour and Social Security)</p>	
10:50-11:30	<p>Speaker: David Bravo</p> <p>Topic: <i>Pension Reform in Chile</i></p>
11:30-12:00	<p>Discussants</p> <p>Keyong Dong (Professor, School of Public Administration and Policy, Renmin University of China)</p> <p>Yansui Yang (Professor, School of Public Policy &amp; Management, Tsinghua university)</p> <p>Dehua Wang (Professor, National Academy of Economic Strategy, CASS)</p>
<i>12:00-13:30</i>	Lunch break

<b>Session 3 (13:30-15:00)</b> Chair: Yulin Qiu (Professor, Renmin University of China)	
13:30-14:30	<b>Speaker:</b> Edward Palmer <b>Topic:</b> <i>Why NDC? And Thoughts about How It Could Be Introduced in China</i>
14:30-15:00	<b>Discussants</b> Hong Zhou (Professor, CASS M Academician, Institute of European Studies, CASS Academician) Zhen Li (Professor, School of Public Administration and Policy, Renmin University of China) Wenjiong He (Professor, School of public affairs, Zhejiang University)
15:00-15:15	Coffee break
<b>Session 4 (15:15-16:15)</b> Chair: Xinmei Wang (Assistant Professor, Institute of Population and Labor Economics, CASS)	
15:15-15:45	<b>Speaker:</b> Noriyuki Takayama <b>Topic:</b> <i>Several Questions on Basic Ideas of the 1994 World Bank Report: Averting the Old Age Crisis</i>
15:45-16:15	<b>Discussants</b> Shi Li, (Professor, Beijing Normal University) Keqing Han (Professor, Renmin University of China) Zhanxin Zhang (Professor, Institute of Population and Labor Economics, CASS)
16:15-16:20	<b>Closing remarks</b> Juwei Zhang (Institute of Population and Labor Economics, CASS)

# 国际专家简介

## Biography of International Experts

### ROBERT HOLZMANN

Robert Holzmann 是奥地利经济学家，奥地利科学院院士（维也纳）。现任澳大利亚新威尔士大学（悉尼）、马来亚大学（吉隆坡）名誉教授，还在国际上担任养老金、金融素质与教育、劳动市场和移民等领域的高级顾问。

在 2011 年重新返回学术界之前，他曾是世界银行“劳动力流动项目（地中海一体化马赛研究中心）”的研究主任和“金融素质与教育项目（俄罗斯信托基金）”的高级顾问。1997 年 5 月-2009 年 6 月底期间，他担任世界银行社会保护与劳动部主任，工作重心是世界银行养老金与劳动方面的战略与概念性的工作。

进入世界银行工作之前，他曾担任德国萨尔兰州大学的经济学教授和欧洲研究所所长（1992-97），在此之前，任奥地利维也纳大学的经济学教授。他曾在日本、智利和澳大利亚的大学访学，还在哈佛大学与牛津大学授课。1985-87 年作为 OECD 主要管理者，撰写了关于发达国家养老金改革的综合性报告。1988-90 年，他作为国际货币基金组织高级经济学家，深入参与了中东欧国家由计划经济向市场经济转型初期时的财政和社会保障事务。

他的学术研究和实务工作遍及世界各地。在社会、财政和金融政策领域共出版了 36 本著作（还有 2 本正在撰写）和约 200 篇文章。关于他的详细简历及出版物，请访问以下的 IZA 网站

[http://legacy.iza.org/en/webcontent/personnel/photos/index\\_html?key=1527](http://legacy.iza.org/en/webcontent/personnel/photos/index_html?key=1527)。

需要下载他的文章，请登录 Robert Holzmann researchgate。

想知道他职业经历与成就，请查阅维基百科，

[https://en.wikipedia.org/wiki/Robert\\_Holzmann](https://en.wikipedia.org/wiki/Robert_Holzmann)

## **ROBERT HOLZMANN**

Robert Holzmann is an Austrian economist and elected fellow of the Austrian Academy of Sciences, Vienna. He holds honorary chair at University of New South Wales, Sydney, the University of Malaya, Kuala Lumpur, and he serves internationally as senior advisor on pensions, financial literacy & education, labor market and migration issues.

Before his return to academia in 2011 he was the Research Director of the Labor Mobility Program (Marseille Center for Mediterranean Integration) and Senior Advisor of the Financial Literacy & Education Program (Russia Trust Fund) of the World Bank. From May 1997 to end-June 2009 he was Sector Director and Head of the Social Protection & Labor Department leading, inter alia, the strategic and conceptual work on pensions and labor at the World Bank.

Before joining the Bank he was professor of economics and the director to the European Institute at the University of Saarland, Germany (1992-97), and before professor of economics at the University of Vienna, Austria. He was also Visiting Professor at various universities in Japan, Chile and Austria, and lectured at Harvard University (USA) and Oxford University (UK). As principal administrator at the OECD (1985-87), he wrote a comprehensive report on public pension reform in industrialized countries. As senior economist at the IMF (1988-1990), he was heavily involved in fiscal and social security issues during the initial transition from plan to market in Central and Eastern Europe.

His academic research and operational involvement extends to all regions of the world, and he has published 36 books (2 more are under preparation) and some 200 articles on social, fiscal and financial policy issues. He has travelled to over 90 countries in the world.

For a detailed CV, including a full list of his publications, visit IZA website

[http://legacy.iza.org/en/webcontent/personnel/photos/index\\_html?key=1527](http://legacy.iza.org/en/webcontent/personnel/photos/index_html?key=1527)

For downloading many of his publications, go to Robert Holzmann researchgate

For more background about his career and achievements, see Wikipedia

[https://en.wikipedia.org/wiki/Robert\\_Holzmann](https://en.wikipedia.org/wiki/Robert_Holzmann)

## **EDWARD PALMER**

Edward Palmer 在瑞典斯德哥尔摩大学获博士学位。

现在的职位如下：

- 名誉教授：乌普萨拉大学经济学系社会保险经济学
- 高级研究员：乌普萨拉大学劳动研究中心
- 合作研究员：瑞典卡罗林斯卡大学（斯德哥尔摩），临床神经学系医疗保险部门
- 哥德堡大学健康经济中心指导委员会成员
- 科学顾问委员会成员：瑞典公共健康质量的 患者满意度调查、评估和发展科学  
顾问委员会成员：国家转移支付账户在公共财政预测与分析领域的应用

工作经历：

- 1987-1993，哥德堡大学社会保险经济学教授
- 1994-2011，乌普萨拉大学社会保险经济学教授
- 1972-1983，瑞典国家经济研究所高级研究员
- 1983-2004，瑞典国家社会保险董事会研究主任
- 2005-2010，瑞典社会保险机构研究主任
- 2012-2015，瑞典社会保险机构高级顾问

作为顾问，他的核心工作是养老金制度设计和相关配套制度。1991-1999 年期间，他在设计了目前瑞典养老金制度的工作组中担任经济学专家，同时也是瑞典政府其他委员会的专家成员。

他作为顾问广泛深入地参与了世界银行、联合国开发计划署、OECD、欧洲委员会的养老金改革；并接受中东欧国家、亚洲和拉美国家的政府邀请，直接参与了拉脱维亚、立陶宛、波兰、俄罗斯、瑞典和土库曼斯坦的养老金改革。他经常受邀演讲，并著有 15 本专著和 125 篇文章，涉及的领域包括宏观经济学、制度经济学、养老金、医疗保险、收入分配和一般福利。



## **EDWARD PALMER**

Edward Palmer has a Ph.D. in Economics from the University of Stockholm. His present positions are:

- Professor (em) of Social Insurance Economics, Department of Economics, Uppsala University.
- Senior Research Fellow, Uppsala Center for Labor Studies, Uppsala University.
- Research affiliate. Karolinska University Hospital, Stockholm. Division of Insurance Medicine, Department of Clinical Neuroscience.
- Member of the Steering Committee for the University of Gothenburg Centre for Health Economics
- Member of the Scientific Advisory Board. IC Quality AB. National contract to develop, evaluate and disseminate surveys of patient satisfaction of quality in public health care in Sweden.
- Scientific Advisory Board. Agenta Europe – Application of National Transfer Accounts for Analysing and Projecting Trends in Public Finances.

Edward Palmer has held the position of Professor of Social Insurance Economics (1987 – 1993) at Gothenburg University and Uppsala University (1994 – 2011). Prior to this he was senior researcher at the Swedish National Institute of Economic Research (1972 – 1983). Concurrent with his academic appointments he has been Chief of Research at the Swedish National Social Insurance Board (1983 – 2004) and the Swedish Social Insurance Agency (2005 – 2010), and thereafter Senior Adviser at the Swedish Social Insurance Agency (2012 – 2015).

His primary area of focus as a consultant has been on designing pension systems and their supporting institutions. Professor Palmer was the expert economist in the Working Group that created the present Swedish Pension system in 1991 – 1999, and has held appointments as an expert in a number of other Swedish government commissions.

He has been commissioned as a consultant on pension reform through the World Bank, UNDP, OECD and European Commission, or directly by governments in Eastern and Central Europe, Asia and Latin America, and has been directly involved in the pension reforms of Latvia, Lithuania, Poland, Russia, Sweden and Turkmenistan. He is also a frequently enlisted speaker and the author of about 15 books and 125 articles within the fields macro-economics, institutional economics, pensions, sickness insurance, income distribution and general welfare.

## 高山憲之

高山憲之生于 1946 年，现在是一桥大学名誉教授，日本养老金与老龄化政策研究所（东京）的杰出研究员。他在日本东京大学获经济学博士，曾任一桥大学经济研究所所长（2005-2007）、代际间关系研究中心主任（2007-2009），还曾是伦敦政治经济学院、哈佛大学、牛津大学、亚洲开发银行研究所的访问学者。

他活跃于储蓄、劳动供给和社会保障制度方面的研究。这些领域的研究成果使他成为国内外著名的代际间经济分析的专家。目前他正在进行人口老龄化的微观数据分析。他最感兴趣的领域是公共（私人）养老金和家庭储蓄与财富形成的经济分析。2000 年 10 月起，他主持着一项名为“代际间公平”的大型养老金研究项目，他是这个项目的主任和首席执行官。他还是成立于 2002 年 2 月的“日本养老金研究委员会”的主要发起者。

他在国际学术界发表了大量的著作和论文，包括顶级刊物 *Econometrica* 和 *American Economic Review* 上。他的英文著作有：1) 《银发日本：公共养老金的经济分析》，2) 《早晨过后：减少的人口、过于慷慨的养老金给付和衰弱的经济》，3) 《饼的味道：发达国家对于养老金制度模式的探索》，4) 《亚洲的养老金：激励、遵缴及其对退休的影响》，5) 《扩大养老金的覆盖面》，6) 《生育率与退休政策》，7) 《养老金管理的优先权挑战》，8) 《终身收入的保障：国际年金市场与政策》，9) 《公务员和军人养老金的改革》。

2002 年起，他担任国际社会保障协会的杂志 *International Social Security Review* 的编辑顾问委员会的成员，还是“澳大利亚养老金和超级年金”顾问委员会成员。他曾担任世界银行、国际货币基金组织、经济合作与协作组织（OECD）、欧洲委员会的顾问。

1994 年、2000 年和 2004 年，他先后在日本众议院和参议院见证了养老金改革议案的通过。他是“全国养老金、税和统计”顾问委员会的专家成员，是日本养老金制度领域的杰出的核心人物，在日本被称为养老金改革的“铁人大厨”。

## NORIYUKI TAKAYAMA

Noriyuki Takayama was born in 1946. He is Professor Emeritus at Hitotsubashi University, and Distinguished Scholar at the Research Institute for Policies on Pension & Aging, Tokyo. He holds a PhD from the University of Tokyo. He was Director of the Institute of Economic Research, Hitotsubashi University between 2005 and 2007, and Director of the [Center for Intergenerational Studies](#) between 2007 and 2009. He was a visiting scholar at LSE, Harvard University, University of Oxford and Asian Development Bank Institute.

He has taken an active part in studies of saving and labor supply and also of the social security system, earning his reputation both nationally and internationally as a specialist in intergenerational economic problems. He is currently engaged in microdata analyses of the aging/declining population. His particular interest is economics of social security/private pensions and of household saving/wealth formation. Since October 2000, he has been leading a research project on intergenerational equity (PIE) as Director General and CEO. He is the principal founder of the Japan Pension Research Council which started its activity in February 2002.

He has published numerous books and articles in international publications including *Econometrica* and *American Economic Review*. His publication includes 1) *The Greying of Japan: An Economic Perspective on Public Pensions*, 2) *The Morning After in Japan: Its Declining Population, Too Generous Pensions and a Weakened Economy*, 3) *Taste of Pie: Searching for Better Pension Provisions in Developed Countries*, 4) *Pensions in Asia: Incentives, Compliance and Their Role in Retirement*, 5) *Closing the Coverage Gap*, 6) *Fertility and Public Policy*, 7) *Priority Challenges in Pension Administration*, 8) *Securing Lifelong Retirement Income: Global Annuity Markets and Policy*, and 9) *Reforming Pensions for Civil and Military Servants*. His books in Japanese include *A Class for Pensions*, *Pension Reform with Trust and Sustainability*, *Dynamics of the Stock Economy*, *Pension and Child Allowance* and *Savings and Wealth Formation*. The last was awarded the 1996 Nikkei Prize for the best book on economic issues.

He is a member of the Editorial Advisory Board of the *International Social Security Review* from 2002 and a member of the Advisory Committee of Australian Center for Pensions and Superannuation from 2002. He worked as a consultant at World Bank, European Commission, IMF and OECD.

He witnessed at the House of Representatives and House of Councilors, Japan, on the pension reform bill in 1994, 2000 and 2004. He has served as an expert member on National Advisory Committees on pensions, tax and statistics. He is a distinguished key player on Japanese pensions. He is called as the “Iron Chef” of pensions in Japan.

## **DAVID BRAVO**

David Bravo 是经济学家，现任智利天主教大学社会科学系教授、调查与纵向研究中心主任。他还是宾夕法尼亚大学人口研究中心的副研究员和芝加哥大学经济与人类发展中心的合作研究员。

2014-2015 年，任智利养老金总统顾问委员会主席。

2009-2015 年，“智利社会保障顾问委员会”委员。2006 年，“养老金改革总统顾问委员会”委员。2007-2008 年，“劳动与平等总统顾问委员会”委员。2011 年，“能力建设政策专家顾问委员会”委员。2013 年，“2012 年普查”外评委员。

他是智利大学微观数据中心的创建者和前主任（2000-2013）。他是智利最早启动追踪调查研究的学者。这些追踪调查有“社会保护追踪调查”和“儿童早期追踪调查”。他发表了大量的追踪调查和基于这些数据的研究成果，具体有关于劳动市场、养老金、教育及效果评估等方面的专著和论文。

## **DAVID BRAVO**

David Bravo Economist, Professor in the Department of Social Sciences, Universidad Católica de Chile and Director of the UC Center for Surveys and Longitudinal Studies. He is currently Associate researcher at the Population Studies Center (U. of Pennsylvania) and affiliate researcher to the Center for the Economics of Human Development (U. of Chicago).

In 2014 and 2015 he was Chair of the Presidential Advisory Commission on the Chilean Pension System.

He has been a member of the Social Security Consulting Council (2009-2015). He was a member of the Presidential Advisory Council for Pension Reform (2006), the Presidential Advisory Council for Labor and Equality (2007-2008), the Commission of Advisory Experts on Capacity-Building Policies (2011) and the External Review Commission for the 2012 Census (2013), among other public services.

He was the founder and director of the Center for Microdata at the Universidad de Chile (2000- 2013). He has been a primary researcher and producer of longitudinal studies in Chile (those studies include the Longitudinal Social Protection Survey and the Early Childhood Longitudinal Survey and he has published numerous surveys, research studies, books and papers on the subjects of the labor market, social security, education, and impact evaluation.)